

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Group Art Unit:  
Blaisdell, R. et al. : To Be Assigned  
Serial No.: To Be Assigned : IPLaw T81/B503  
: IBM Corporation  
Filed: Concurrently Herewith : PO Box 12195  
: RTP, NC 27709  
Title: Typicality Filtering of Event Indicators For Information Technology Resources

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: David R. Irvin  
David R. Irvin, Agent  
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DRI/ssc  
Docket: RSW920040023US1  
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List of Patents and Publications for  
Applicant's Information Disclosure Statement

APPLICANT: Blaisdell, R. et al.

(Use several sheets if necessary)

FILING DATE: To be Assigned

GROUP: To Be Assigned

## Reference Designation

## US PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appr.)
AA	2003/0084323	05/01/03	Gales	713/200	10/31/01
AB	6,282,546	08/28/01	Gleichauf et al.	707/102	06/30/98
AC	6,029,196	02/22/00	Lenz	709/221	06/18/97
AD	6,009,274	12/28/99	Fletcher et al.	395/712	06/24/97
AE	5,913,218	06/15/99	Carney et al.	707/200	11/06/95
AF	5,732,275	03/24/98	Kullick et al.	395/712	01/11/96
AG	5,619,716	04/08/97	Nonaka et al.	395/800	06/06/95
AH	2003/0110398	06/12/03	Dacier et al.	713/201	11/01/02
AI	2003/0101260	05/29/03	Dacier et al.	709/224	10/31/02

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class/Subclass	Translation Yes No
AJ	11095989A	04/09/99	Japan	G06F9/06	(Abstract)
AK	10320338A	12/04/98	Japan	G06F13/00	(Abstract)
AL	EP0864972	09/16/98	Europe	G06F9/44	(Abstract)

## OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

AM Allan, R. et al. "Dynamic Update of an Application Monitoring Agent Using a Non-Polling Mechanism", IBM Patent Application Dossier, IBM Docket Number AUS920000254, Filed May 31, 2000, Serial number 09/583,958.

AN IBM Technical Disclosure Bulletin, "System Performance Monitor for Data Acquisition, Data Analysis, and System Recommendations" Vol. 39, Pub. No. 9, pp 19-22. September, 1996

Examiner

Date Considered